

JC955 U
12/24/01
PTO

01-09-02

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

(Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.)

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. CT-DST-1

First Inventor SCOUTEN

Title Stereotaxic Manipulator WIPER

Express Mail Label No. EU002522298

12/24/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages 46]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 5]

5. Oath or Declaration [Total Pages]
 a. Newly executed (original or copy)
 b. Copy from a prior application (37 CFR 1.63 (d))
 (for continuation/divisional with Box 18 completed)

- i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
- c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney

11. English Translation Document (if applicable)

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15. Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No. /

Prior application information: Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	Insert Customer No. or Attach bar code label here		<input type="checkbox"/> or <input type="checkbox"/> Correspondence address below
Name	Patrick D. Kelly		
Address	11939 Manchester # 403		
City	St. Louis	State	MO
Country	US	Telephone	314-822-8558
Name (Print/Type)	Patrick D. Kelly	Registration No. (Attorney/Agent)	30,650
Signature	Patrick D. Kelly		Date 24 Dec. 2001

JC965
10/4/2001
S. PTO

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 489)

Complete if Known

Application Number	
Filing Date	24 Dec. 2001
First Named Inventor	SCOUTEN
Examiner Name	
Group Art Unit	
Attorney Docket No.	CT-DST-1

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

 Deposit Account:

Deposit Account Number 50-1007
Deposit Account Name Patrick D. Kelly

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee
 for the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
101 740	201 370	Utility filing fee	370
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ 370)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Extra Claims		Fee Paid	
		Fee from below			
		-20**	=		
26	3	6	7	54	
		0		-	

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 54)

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65		
127 50	227 25	Surcharge - late provisional filing fee or cover sheet			
139 130	139 130	Non-English specification			
147 2,520	147 2,520	For filing a request for ex parte reexamination			
112 920*	112 920*	Requesting publication of SIR prior to Examiner action			
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action			
115 110	215 55	Extension for reply within first month			
116 400	216 200	Extension for reply within second month			
117 920	217 460	Extension for reply within third month			
118 1,440	218 720	Extension for reply within fourth month			
128 1,960	228 980	Extension for reply within fifth month			
119 320	219 160	Notice of Appeal			
120 320	220 160	Filing a brief in support of an appeal			
121 280	221 140	Request for oral hearing			
138 1,510	138 1,510	Petition to institute a public use proceeding			
140 110	240 55	Petition to revive - unavoidable			
141 1,280	241 640	Petition to revive - unintentional			
142 1,280	242 640	Utility issue fee (or reissue)			
143 460	243 230	Design issue fee			
144 620	244 310	Plant issue fee			
122 130	122 130	Petitions to the Commissioner			
123 50	123 50	Processing fee under 37 CFR 1.17(q)			
126 180	126 180	Submission of Information Disclosure Stmt			
581 40	581 40	Recording each patent assignment per property (times number of properties)			
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))			
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))			
179 740	279 370	Request for Continued Examination (RCE)			
169 900	169 900	Request for expedited examination of a design application			

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 65)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Patrick D. Kelly

Registration No.
(Attorney/Agent)

30,650

Telephone 314-822-8998

Signature

Patrick D. Kelly

Date 24 Dec. 2001

EXPRESS MAIL EU002522296
December 24, 2001

UTILITY APPLICATION

Docket No. CT-DST-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Enclosed herewith for filing is the patent application of:

INVENTORS: **CHARLES W. SCOUTEN, JAMES G. UNNERSTALL, and
BRIAN J. FORINASH**

TITLE: **STEREOTAXIC MANIPULATOR WITH RETROFITTED
LINEAR SCALES AND DIGITAL DISPLAY DEVICE**

Transmittal Form PTO/SB/05

An Abstract and Specification including 26 Claims

5 sheets of informal drawings

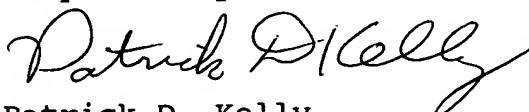
A certificate of Express Mail, #EU002522296

A check for \$489.00, with Fee Transmittal Form PTO/SB/17

The undersigned attorney further states, based on diligent inquiry, that the Inventors qualify for treatment (including reduced fees) as a small entity, under all relevant laws and rules.

If any questions arise, please contact the undersigned at 314-822-8558.

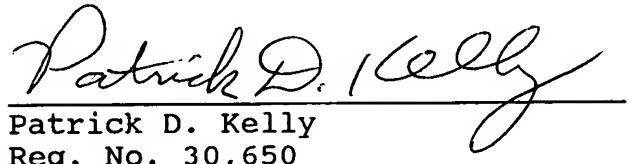
Respectfully submitted,



Patrick D. Kelly
USPTO Reg. 30,650
Attorney for Applicants
11939 Manchester #403
St. Louis, MO 63131

EXPRESS MAIL CERTIFICATE OF MAILING

I hereby certify that this U.S. patent application, in the names of **CHARLES W. SCOUTEN, JAMES G. UNNERSTALL, and BRIAN J. FORINASH**, entitled **STEREOTAXIC MANIPULATOR WITH RETROFITTED LINEAR SCALES AND DIGITAL DISPLAY DEVICE**, including a specification, 26 claims, and Abstract; 5 sheets of informal drawings; transmittal form PTO/SB/05, fee transmittal sheet PTO/SB/17, and a check for \$489.00, are being deposited in the United States Postal Service as "Express Mail Post Office To Addressee" under Certificate No. EU002522296, in an envelope addressed to: **BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231**, on this 24th day of December 2001.



Patrick D. Kelly
Reg. No. 30,650